



Practitioner's Docket No.: 791\_130 RCE

12  
PATENT  
B14  
4/30/03

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re the application of: Li YANG and Toshihiro YOSHIDA

Ser. No.: 09/770,725

Art Unit: 1745

Filed: January 26, 2001

Examiner: Jonathan Crepeau

Confirmation No.: 6015

For: LITHIUM SECONDARY BATTERY

I hereby certify that this paper is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 addressed to Assistant Commissioner for Patents, Washington, D.C. 20231 on April 23, 2003 under "EXPRESS MAIL" mailing label number EV 26361 3861 US.

*Janet M. Stevens*  
Janet M. Stevens

Assistant Commissioner for Patents  
Washington, DC 20231

RECEIVED

APR 28 2003

TC 1700

Sir:

Pursuant to 37 C.F.R. §1.56, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached Form PTO-1449. Copies of each of the references listed on Form PTO-1449 are attached.

Also enclosed is a copy of a European Search Report bearing a mailing date of April 7, 2003 from a corresponding European patent application, and the U.S. PTO is directed thereto for a concise statement of possible relevance of the references cited therein.

Reference AF is a family member of Reference AG.

The above information is presented so that the Patent and Trademark Office may, in the first instance, determine any materiality thereof to the claimed invention. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that these references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

The Commissioner is hereby authorized to charge any additional fees associated with this communication or credit any overpayment to Deposit Account No. 50-1446.

Respectfully submitted,



Kevin C. Brown  
Reg. No. 32,402

KCB:jms

BURR & BROWN  
P.O. Box 7068  
Syracuse, NY 13261-7068

Customer No.: 025191  
Telephone: (315) 233-8300  
Facsimile: (315) 233-8320



04-24-03

1745

**PATENT**

Practitioner's Docket No.: 791\_130 RCE

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re patent application of: Li YANG and Toshihiro YOSHIDA

Serial No.: 09/770,725

Art Unit: 1745

Filed: January 26, 2001

Examiner: Jonathan Crepeau

Confirmation No.: 6015

For: LITHIUM SECONDARY BATTERY

Assistant Commissioner for Patents  
Washington, D.C. 20231

I hereby certify that this paper is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 addressed to **Assistant Commissioner for Patents, Washington, D.C. 20231** on April 23, 2003 under "EXPRESS MAIL" mailing label number **EV 26361 3861 US**.

Janet M. Stevens

**CERTIFICATION UNDER 37 C.F.R. § 1.97(e)**

Sir:

Each item of information contained in the Information Disclosure Statement filed herewith was *first* cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.

**RECEIVED**  
APR 28 2003

No item of information in the Information Disclosure Statement filed herewith was ~~first~~ cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

**TO 1700**

OR

Respectfully submitted,

Kevin C. Brown  
Reg. No. 32,402

KCB:jms

BURR & BROWN  
P.O. Box 7068  
Syracuse, NY 13261-7068

Customer No.: 025191  
Telephone: (315) 233-8300  
Facsimile: (315) 233-8320